



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
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O I P E

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/973,318	10/09/2001	Michael J. Roberts	34848/234243

FEB 19 2002

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 0826  
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CONFIRMATION NO. 3632

## FORMALITIES LETTER



\*OC000000007052403\*

Date Mailed: 11/09/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

05/16/2002 SSALEEKU 00000015 09973318

01 FC:105

130.00 OP

*A copy of this notice **MUST** be returned with the reply.*

JL

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/22/2002 EAREGAY1 00000104 09973318

Adjustment date: 05/16/2002 SSALEEKU  
 02/22/2002 EAREGAY1 00000104 09973318  
 01 FC:105 130.00 OP



Attorney's Docket No. 34848-34243

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

RE: **Roberts et al.**  
Appl No.: 09/973,318  
Filed: October 9, 2001  
For: Thioester Polymer Derivatives and Method of Modifying the N-Terminus of a  
Polypeptide Therewith  
**COPY OF PAPERS  
ORIGINALLY FILED**

Confirmation No.: 3632

Box Missing Parts  
Commissioner for Patents  
Washington, DC 20231

**RESPONSE TO NOTICE TO  
FILE MISSING PARTS OF APPLICATION**

Sir:

In response to the Notice to File Missing Parts of Application dated November 9, 2001, enclosed are the following:

- Part 2 of Formalities Letter or Form PTO-1533  
(Notice to File Missing Parts of Application)
- Declaration and Power of Attorney for the above-identified application which has been executed by the named inventor(s)
- Applicant claims small entity status
- Check in the amount of \$130.00 to cover the \$130.00 surcharge under 37 C.F.R. § 1.16(e)
- English Translation and \$130.00 (37 CFR 1.17(k)) fee for filing late.
- Other: Petition and Fee for Extension of Time

Any additional fee or credit may be charged to our Deposit Account No. 16-0605.

Respectfully submitted,

Christopher M. Humphrey  
Registration No. 43,683

**Customer No. 00826**  
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**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

**Box Missing Parts**, Commissioner for Patents, Washington, DC 20231, on January 29, 2002.

Tracey S. Wright, RTA 2110946v1